****** Title: Asthma 4 Original Author: Dr Lawrence Kleinman Version written by: Robert Thombley Last Edited Date: Aug 02, 2021 Description: SAS code to calculate Asthma 4 measure using administrative claims data. Required Input Data Files: (see input file specification documentation for more details) All input data should be in SAS7BDAT format and conform to the specifications listed. 1) Eligibility Table: A list of all periods of continuous enrollment periods longer than 3 months by member and payer (aka insurance provider). Each row is a continuous enrollment period for a given member and insurance provider/payer. Records for a given member should not overlap in time, but each member may have multiple records due to enrollment with different providers or non-continuous enrollment periods with the same provider. 2) Medical Claim Table: All medical claims for the relevant population. Claims will be filtered by time, diagnosis code, and age at service but can be pre-filtered to cut down on size. Both the ED visits (denominator) and PCP follow up visits (numerator) will be selected from this data, so it must include, at a minimum, all asthma related ED visit claims and all office visit claims for the lookback and evaluation periods. Data should be in wide format (1 row = 1 service line - ie: reimbursed charge from the claim) with, at minimum, the first two ICD9/10 codes included. 3) NPI and Taxonomy Code Table: A separate table that will serve as the reference table for "acceptable" followup provider NPIs. This can be generated directly from the NPPES. It should include the provider NPI as well as the "CLASSIFICATION" field from the NUCC (National Uniform Claim Committee) Health Care Provider Taxonomy Code Set, which can be found here https://www.nucc.org/index.php/code-sets-mainmenu-41/provider-taxonomy-mainmenu-40 and cross referenced with the primary specialty for each NPI, as listed in the NPPES. Output: ast4 out.measure data<file suffix>: This table will contain all qualifying ED index visits by member-month, as well as an indicator of whether each index visit had a followup within 14 days (inclusive). The payer associated with each member month is the most recent payer for the member. *****************/

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* Define the library name for use throughout the codebase;
libname ast4_in "<PATH/TO/INPUT/DATA/FILES>";
libname ast4 out "<PATH/TO/OUTPUT/DATA/FILES>";
* Define analysis years;
%let lookyear = 2014; * The lookback year;
%let evalyear = 2015; * The evaluation year;
%let file_suff = _15CA4; *File suffix to be used for files associated with the current analysis;
* Source Data Tables;
%let eligibility table = /*ast4 in.ENROLLMENT TABLE*/;
%let medical claims = /*ast4 in.MEDICAL CLAIMS*/;
* NPI Reference table - this table should include all distinct NPIs
(PROVIDING/RENDERING/BILLING) from the
* numerator claims data along with their NUCC "Classification" value;
%let npi taxonomy list = /*ast4 in.NPI DATA*/;
* Define beginning and ending dates - this should not need to be edited;
%let lookstart = 01Jan&lookyear.; *First date of the lookback year;
%let evalstart = 01Nov&lookyear.; *First date of the evaluation year;
%let evalend = 310ct&evalyear.; *Last date of the evaluation year;
* Measure inclusion criteria;
* CPT & Revenue Codes;
%let ed visit cpt = '99281','99282','99283','99284','99285';
%let ed visit rev code = '0450','0451','0452','0456','0459','0981';
%let office visit cpt =
'99201','99202','99203','99204','99205','99211','99212','99213','99214','99215',
'99241','99242','99243','99244','99245';
%let home_health_cpt = '99341','99342','99343','99344','99345','99347','99348','99349','99350';
%let cap prev med cpt =
'99381','99382','99383','99384','99385','99391','99392','99393','99394','99395','99401','99402',
'99403','99404','99411','99412','99420','99429';
%let cap prev med hcpcs = 'G0438','G0439';
* ICD9/10 Inclusion Codes;
* ICD9 = 493.*;
%let icd9 inclusion asthma
='49300', 49301', 49302', 49310', 49311', 49312', 49320', 49321', 49322',
                                            '49381','49382','49390','49391','49392';
* icd10 = J45.*;
%let icd10 inclusion asthma =
'J4520','J4521','J4522','J4530','J4531','J4532','J4540','J4541','J4542','J4550',
'J4551','J4552','J45901','J45902','J45909','J45990','J45991','J45998';
* The minimum and maximum ages to include;
%let min included age at service = 3;
%let max_included_age_at_service = 21;
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/* Identify the last date in February for the evaluation year, since it may change if it is a

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leapyear.
       The value is stored as the global variable &last feb day.
*/
data _NULL_;
       end day = day(intnx('MONTH', mdy(2,1,&evalyear.),0,'end'));
      call symput('last feb day', put(end day, 2.));
run;
/* Create reference tables for use throughout the analysis. */
* Create reference list of all unique member/payer enrollment periods within the study period;
      proc sql;
              CREATE TABLE enrollment log as
              SELECT DISTINCT member_id,
                                          payer id,
                                          payer_start_dt,
                                          payer end dt
              FROM &eligibility_table.;
       quit;
       /* Filter all medical claims for use in the denominator to include only those having:
              - diagnosis codes matching the measure inclusion critieria diagnosis codes
              - member/payer ids match those in the enrollment log table
              - claim service date is within the measure time frame
              - claim service date is within the payer enrollment period for the member
       */
       proc sql;
              CREATE TABLE all_ast_clms_plus_enroll AS
              SELECT DISTINCT a.member id,
                     a.service dt,
                     a.zip code,
                     a.DX1,
                     a.DX2,
                     a.procedure code,
                     a.revenue code,
                     a.payer id,
                     a.product type,
                     a.product id,
                     a.age_at_service,
                     b.payer start dt,
                     b.payer end dt
              FROM & medical claims. a
              INNER JOIN enrollment log b
              ON a.member id = b.member id AND a.payer id = b.payer id
              WHERE
                     (a.DX1 IN (&icd9_inclusion_asthma., &icd10_inclusion_asthma.) OR
                     a.DX2 IN (&icd9 inclusion asthma., &icd10 inclusion asthma.))
                     AND a.service dt BETWEEN "&lookstart"d AND "&evalend"d
                     AND a.service dt BETWEEN b.payer start dt AND b.payer end dt;
      quit;
       /* Filter all medical claims for use in the numerator. */
       proc sql;
                     CREATE TABLE all num clms plus enroll AS
                     SELECT DISTINCT
                                   a.member id,
                                   a.service dt,
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a.procedure code ,
                                    a.payer id,
                                    a.age at service,
                                    a.NPI_PROVIDING,
                                    a.NPI BILLING,
                                    a.NPI RENDERING
                     FROM & medical claims. a
                     WHERE a.service dt BETWEEN "&lookstart"d AND "&evalend"d;
       quit;
* Filter the NPI list to include only providers with the follwing classifications: Allergy &
Immunology, Family Medicine,
* Internal Medicine, General Practice or Pediatrics.
* Note that Pulmonary medicine is included under Pediatrics and/or Internal Med;
       proc sql;
              create table TAXO FILTERED PROVS as
              select NPI, TAXONOMY CODE, CLASSIFICATION, 1 as PCP TYPE OK
              from &npi taxonomy list. a
              where not missing(CLASSIFICATION) AND
                      (classification="Allergy & Immunology"
                             OR classification="Family Medicine"
                             OR classification="Internal Medicine"
                             OR classification="General Practice"
                             OR classification="Pediatrics");
       quit;
/* Find all denominator qualifying events: ED visits with Asthma in the Dx1 or Dx2 slot
in the evaluation time frame.*/
       proc sql;
              create table a4 ed visit as
              select member id, service_dt, product_type, product_id, zip_code,
age at service, payer id
              from all ast clms plus enroll a
              where (a.revenue_code in (&ed_visit_rev_code.) OR a.procedure_code in
(&ed_visit_cpt.))
              order by a.member id, a.service dt;
       quit;
       /* De-duplicate based on member id, payer and service date to create unique service
events for each member id,
              separated by payer, if necessary. Multiple ED claims from a single payer on a
single day will only be counted once. */
       proc sort data = a4 ed visit out = all ed clean nodupkey;
              by member id payer id service dt;
       run;
       * Build monthly lists of MEMBER level ED index dates, filtered to remove any members
that fail to meet the continuous enrollment
       criteria;
       %MACRO calcMonthDenom(mth, start date, end date, ce end date);
              * Restrict to the current month & remove any patients that are outside our
included age range;
              data &Mth;
                     set all ed clean;
                     where (&start date. le service dt le &end date.);
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if age at service lt &min included age at service.
                                or age at service gt &max included age at service. then delete;
                run;
                * Find all member ED visits where the member has at least 2 months of
eligibility (with any payer) beyond the current month;
                proc sql;
                        CREATE TABLE &mth. CE as
                                SELECT * from &Mth.
                                where MEMBER ID IN (
                                         SELECT DISTINCT a.MEMBER ID
                                         FROM &Mth. a
                                         inner join enrollment log b
                                         on a.payer id = b.payer id and a.member id = b.member id
                                         WHERE b.payer start dt <= &start date. and
b.payer end dt >= &ce end date.)
                                ORDER BY member id;
                quit;
                proc sort data=&Mth. CE;
                        by MEMBER ID descending SERVICE DT;
                run;
                /* Since the measure is attributed at the member level, we want to have a unique
association of payer details to the member.
                For cases where there are multiple payers in a month, we will use the payer
level details from the member's last ED visit in the month.
                */
                data &Mth. payer details;
                        set &Mth. CE;
                        where not missing(PAYER ID);
                        by member id;
                        if first.member id then output;
                run;
                /* Add member level visit count to the most recent member-payer level details.
This creates a
                        table that identifies event totals per member and attributes them to the
most recent payer for that member.
                */
                proc sql;
                        create table &Mth._total_mem_level as
                        select a.member id,
                                   a.payer id,
                                   a.zip code,
                                   a.product_type,
                                   a.product id,
                                   a.age at service,
                                   "&Mth." as Month,
                                   b.tot ed
                        from &Mth. payer details a
                        inner join (select MEMBER_ID, count(DISTINCT(SERVICE_DT)) as tot_ed from
&Mth._CE group by MEMBER_ID) b
                        on a.member id = b.member id;
                quit;
            /* Now add all of the ED_Index visit dates back in. These are the member level ED
visit dates for the month */
                proc sql;
                        create table &Mth. denom ce2 as
                        select a.MEMBER ID, a.payer id, a.product type, a.product id,
a.zip_code,
                                         a.month, a.tot ed,
                                         b.service dt, b.age at service
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inner join &mth. CE b
                        on a.MEMBER ID = b.MEMBER ID;
                quit;
        %MEND;
        * Run for each month in the evaluation year;
        %calcMonthDenom (Nov,"01Nov&lookyear"d,"30Nov&lookyear."d, "31Jan&evalyear"d);
        %calcMonthDenom (Dec,"01Dec&lookyear."d,"31Dec&lookyear."d,
"&last_feb_day.Feb&evalyear."d);
        %calcMonthDenom (Jan, "01Jan&evalyear"d, "31Jan&evalyear."d, "31Mar&evalyear"d);
        %calcMonthDenom (Feb,"01Feb&evalyear"d,"&last feb day.Feb&evalyear."d,
"30Apr&evalyear"d);
        %calcMonthDenom (Mar,"01Mar&evalyear"d,"31Mar&evalyear."d, "31May&evalyear"d);
        %calcMonthDenom (Apr,"01Apr&evalyear"d,"30Apr&evalyear."d, "30Jun&evalyear"d);
        %calcMonthDenom (May, "01May&evalyear"d, "31May&evalyear."d, "31Jul&evalyear"d);
        %calcMonthDenom (Jun, "01Jun&evalyear"d, "30Jun&evalyear."d, "31Aug&evalyear"d);
        %calcMonthDenom (Jul, "01Jul&evalyear"d, "31Jul&evalyear."d, "30Sep&evalyear"d);
        %calcMonthDenom (Aug,"01Aug&evalyear"d,"31Aug&evalyear."d, "31Oct&evalyear"d);
        %calcMonthDenom (Sep,"01Sep&evalyear"d,"30Sep&evalyear."d, "30Nov&evalyear"d);
        %calcMonthDenom (Oct,"010ct&evalyear"d,"310ct&evalyear."d, "31Dec&evalyear"d);
/* Concatenate all of the monthly data into one large denominator table. */
        data denominator raw data (rename=(service dt=ED INDEX DT));
                set nov_denom_ce2 (in=nov) dec_denom_ce2 (in=dec) jan_denom_ce2 feb_denom_ce2
mar denom ce2 apr denom ce2 may denom ce2 jun denom ce2
                        jul denom ce2 aug denom ce2 sep denom ce2 oct denom ce2;
                format eval start dt date9.;
                format eval end dt date9.;
                attrib yr length=$4;
                if nov = 1 or dec = 1 then yr = &lookyear.;
                else yr = &evalyear.;
                eval start dt = input("01" || month || yr, date9.);
                eval end dt = intnx('month', eval start dt, 0, 'end');
        run;
        proc sort data=denominator raw data;
                by member id payer id ed index dt;
        run;
* Deduplicate multiple ED visits that occur within 5 days of one another - use only the date of
the initial visit as the index date;
* Beyond this 5 day window, we keep multiple index dates for each patient;
        data ast4 out.denominator raw data (drop=lag since index blackout index dt);
                set work.denominator raw data;
                by member id;
                retain lag since index index dt;
                format index dt date9.;
                if first.member id then do;
                        lag since index = 0;
                        blackout=0;
                        index_dt = ED_INDEX_DT;
                end;
                else do;
                        lag since index = ED INDEX DT - index dt;
                        if lag since index <=5 then do;
                                blackout = 1;
                        end;
                        else do;
                                 lag since index = 0;
                                blackout = 0;
                                 index dt = ED INDEX DT;
                        end;
                end;
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from &Mth. total mem level a

if blackout then delete; run; /* Calculating Numerator - Identify, for each month of the reporting year, those kids in the denominator who had a followup visit in an ambulatory setting with a "PCP-type" provider. It is permissible for a single patient to have multiple ED index visits, as long as they are > 5 days apart from one another. A follow up visit is defined as an ambulatory visit with a "PCP-type" provider within 14 days of the index ED visit. */ * This macro will identify all potential followup visits and calculate our numerator score; %MACRO calculateNumerator(Mth, Mth num, BeginDt, EndDt); * Filter the numerator claims down to office visits within the current month; proc sql; create table &Mth. fu visits wide as select a.*, a.service dt as visit dt from all num clms plus enroll a where a.procedure_code IN (&office_visit_cpt., &home_health_cpt., &cap_prev_med_cpt., &cap_prev_med_hcpcs.) AND a.service_dt BETWEEN &beginDt. AND &endDt. AND a.age at_service BETWEEN &min included age at service. and &max included age at service.; quit; * For each of the potential visits, determine whether any of the NPIs included on the claim match one of the 'permissible' * NPIs we determined above; proc sql; create table &Mth. fu visits npi as select a.*, coalesce(r.CLASSIFICATION, p.CLASSIFICATION, b.CLASSIFICATION) as CLASSIFICATION, coalesce(r.PCP TYPE OK, p.PCP TYPE OK, b.PCP TYPE OK, 0) as PCP TYPE OK from &Mth. fu visits wide a left join TAXO_FILTERED_PROVS b on b.NPI = a.NPI BILLING left join TAXO FILTERED PROVS p on p.NPI = a.NPI PROVIDING left join TAXO FILTERED PROVS r on r.NPI = a.NPI RENDERING; run; * Identify all followup visits that have an NPI associated with one of the provider classifications of interest; proc sort data=&Mth. fu visits npi out=fu taxo unique nodupkey; where pcp_type_ok = 1; by MEMBER ID SERVICE DT; run: * Match the follow up visits with the index dates and exclude any that are 15 or more days after the ED visit; proc sql;

create table &Mth. numerator all as

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select a.*,
                               b.service dt as visit dt format=date10.,
                               b.pcp type ok as PCP FU,
                               b.CLASSIFICATION
               from ast4 out.denominator raw data a
               inner join work.fu taxo unique b
               on a.member id = b.member id
                       and 0 <= (b.service dt - a.ED INDEX DT) <= 14
               where eval start dt = &beginDt.
               order by a.member id, a.ED INDEX DT;
       quit;
        * Remove all but the FIRST qualifying followup, if any, for a given member-ED index
visit pairing;
       proc sort data=&mth. numerator all out=&Mth. fu first 14 nodupkey;
               by member id ed index dt;
       run;
        * Join the numerator data to the denominator data, at the monthly level;
       proc sql;
               create table &Mth._num_out as
               select a.member_id, a.payer_id,
               a.PRODUCT TYPE, a.PRODUCT ID,
               a.ED INDEX DT, a.age at service,
               a.month, a.eval start dt, a.eval end dt, a.zip code,
               fu.VISIT DT as DATE PCP FU 14,
               fu.CLASSIFICATION as PCP FU 14 TAXO,
               coalesce(fu.PCP FU,0) as PCP FU 14
               from ast4_out.denominator raw data a
               left outer join &Mth. fu first 14 fu
               on a.member id = fu.member id and a.ed index dt = fu.ed index dt
               where a.eval start dt = &beginDt.;
       quit;
%MEND calculateNumerator;
        * Calculate numerator counts for each member, by month;
        %calculateNumerator (Nov,11,"01Nov&lookyear."d,"31Jan&evalyear"d);
        %calculateNumerator (Dec,12,"01Dec&lookyear."d,"&last feb day.Feb&evalyear"d);
       %calculateNumerator (Jan,1,"01Jan&evalyear"d,"31Mar&evalyear"d);
%calculateNumerator (Feb,2,"01Feb&evalyear"d,"30Apr&evalyear"d);
        %calculateNumerator (Mar,3,"01Mar&evalyear"d,"31May&evalyear"d);
        %calculateNumerator (Apr,4,"01Apr&evalyear"d,"30Jun&evalyear"d);
       %calculateNumerator (May,5,"01May&evalyear"d,"31Jul&evalyear"d);
        %calculateNumerator (Jun,6,"01Jun&evalyear"d,"31Aug&evalyear"d);
       %calculateNumerator (Jul,7,"01Jul&evalyear"d,"30Sep&evalyear"d);
        %calculateNumerator (Aug,8,"01Aug&evalyear"d,"31Oct&evalyear"d);
       %calculateNumerator (Sep,9,"01Sep&evalyear"d,"30Nov&evalyear"d);
       %calculateNumerator (Oct,10,"01Oct&evalyear"d,"31Dec&evalyear"d);
        * Join all months together;
       data numerator ref data;
               set nov num out dec num out jan num out feb num out mar num out apr num out
may num out jun num out
                       jul num out aug num out sep num out oct num out;
       run;
        * Output final dataset;
       proc sort data = numerator ref data out=ast4 out.measure data&file suff.;
               by member id ed index dt;
       run;
```